UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 14154US02)

In the Application of:

      James A. Faunce

Serial No.   10/538,592

Filed: 12/05/2003

For: A PHTHALATE ESTER AS
       METAL WORKING
       LUBRICANT

Examiner:

Group Art Unit:

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

     Pursuant to 37 C.F.R. § 1.97-1.98 and in compliance with the duty of disclosure

set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be

considered in the examination of the above-identified patent application:

U.S. Patent No.	Date	Name
2,959,547	11/08/1960	Brillhard

U.S. Patent No.	Date	Name
4,172,802	10/30/1979	Rieder
4,259,206	03/31/1981	Piotrowski et al.
4,383,937	05/17/1983	Williams
4,402,839	09/06/1983	Davis et al.
5,248,431	09/28/1993	Fujita et al.
5,939,366	08/17/1999	MacNeil et al.

The above-identified reference(s) are listed on the attached Form PTO/SB/08a. This submission is in no way intended as an admission that the above-cited references constitute prior art. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Account No. 13-0017.

Respectfully submitted,

__January 25, 2007_____
Date

__/James H. Williams/_____
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